Open Trusted Technology Provider™ Standard (O-TTPS)

Conformance Statement

Version 1.1
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Open Trusted Technology Provider™ Standard (O-TTPS): Conformance Statement

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Comments relating to the material contained in this document may be submitted to:
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1. **Introduction**

This form contains a series of questions that need to be answered. Complete all of the fields in the questionnaire. The completed document is a Conformance Statement for your Organization that identifies the version of the Open Trusted Technology Provider™ Standard (O-TTPS) with which you comply and indicates how you comply with the O-TTPS. Your completed form must be submitted to the Certification Authority as part of your application for certification. Please note that all information in this Conformance Statement will appear on the public Certification Register.

2. **Submitter Information**

Enter the full name of the Organization that is registering for certification:

Delta Electronics, Inc.

**Rationale:** The Organization must be a company registered in the appropriate legal or government body in their country.

Enter the name of the author of this Conformance Statement:

Tommy Chu, Quality Assistant Manager

3. **O-TTPS Version**

Enter the specific release of the O-TTPS against which the Organization’s Scope of Certification is to be certified.

The version information must include the major and minor release version numbers, and if applicable reference to any Corrigenda (e.g., O-TTPS Version 1.1).

O-TTPS Version 1.1.1

**Rationale:** Certification is to the latest version of the O-TTPS at the time of certification or re-certification, unless one of the documented exceptions applies.

**Reference:** The O-TTPS Certification Policy, Section 4.1: Achieving Certification and Section 8: Re-Certification.

4. **Scope of Certification**

Enter a full unambiguous description of the Scope of Certification.

The description must include the name of the entity(s) to be certified, including as necessary attributes of scope as appropriate, such as: products, product lines, business units, or an entire organization.
The scope of OTTPS certification is for IBM Product of Delta ICTBG/CISBU. The scope is including whole design development flow for both of hardware and software; supplier chain management to meet OTTPS regulation requirement. https://www.deltaww.com

**Rationale:** The Organization must declare the Scope of Certification. The Organization has total latitude with this decision. For example, an Organization may certify one or more individual products, by business unit, or enterprise-wide.

**Reference:** The O-TTPS Certification Policy, Section 2.3: Completing the Conformance Statement Questionnaire and Section 3.1: Scope of Certification.

**Optionally enter any explicit exclusions to the Scope of Certification.**

The description must include the name of the entity(s) to be excluded from certification, including as necessary attributes of scope as appropriate, such as versions, dates, ranges, options, geographies, organization contexts, subsidiaries, etc.

**No Exclusions**

**Rationale:** An Organization has the option of providing explicit exclusions to what is included in the Scope of Certification. If an Organization chooses to do so, it should list exclusions in the box above. In certain circumstances this may be an efficient way to clarify the Scope of Certification.

**Reference:** The O-TTPS Certification Policy, Section 3.1: Scope of Certification.

### 5. Nature of the Organization as it Applies to the Scope of Certification

Indicate which of the following best describes the nature of your Organization as it applies to your Scope of Certification:

- [ ] Original Equipment Manufacturer (OEM)
- ☒ Hardware Component Supplier
- ☒ Software Component Supplier
- [ ] Integrator/Value-Add Reseller
- [ ] Pass-Through Reseller or Distributor

If you indicated that you are an OEM or a Hardware or Software Component Supplier, then there is no need to specify your value-add attributes below. If you indicated that you are an Integrator/Value-Add Reseller, then please indicate which of the following areas represent your value add. Check all that apply.

**Product Development/Engineering Method**

- ☒ PD_DES: Software/Firmware/Hardware Design Process
- ☒ PD_CFM: Configuration Management
PD_QAT: Quality and Test Management
PD_PSM: Product Sustainment Management

Secure Development/Engineering Method

SE_TAM: Threat Analysis and Mitigation
SE_RTP: Run-time Protection Techniques
SE_VAR: Vulnerability Analysis and Response
SE_PPR: Product Patching and Remediation
SE_SEP: Secure Engineering Practices
SE_MTL: Monitor and Assess the Impact of Changes in the Threat Landscape

Supply Chain Security

SC_RSM: Risk Management
SC_PHS: Physical Security
SC_ACC: Access Controls
SC_ESS: Employee and Supplier Security and Integrity
SC_BPS: Business Partner Security
SC_STR: Supply Chain Security Training
SC_ISS: Information Systems Security
SC_TTC: Trusted Technology Components
SC_STH: Secure Transmission and Handling
SC_OSH: Open Source Handling
SC_CTM: Counterfeit Mitigation
SC_MAL: Malware Detection

6. Tier of Certification

Select the tier of certification to which your Organization wishes to be certified:
☐ Self-Assessed
☐ Third-Party Assessed

If Third-Party Assessed is selected, complete Section 6.1 below. If Self-Assessed is selected, then Section 6.1 is not applicable.

6.1 O-TTPS Recognized Assessor (Applies to Third-Party Assessed Tier)

Enter the name of the O-TTPS Recognized Assessor organization selected to perform the Assessment.

The O-TTPS Recognized Assessor must be an organization currently listed in the register of O-TTPS Recognized Assessors.

N/A

**Rationale:** An Organization is free to choose the company that will perform their Assessment for O-TTPS certification from a list of companies recognized by the Certification Authority as ones that will adhere to the policies and procedures for O-TTPS certification and whose recognition is currently in good standing.

**Reference:** The O-TTPS Certification Policy, Section 2.6: Organization Selects an O-TTPS Recognized Assessor.